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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/001,506	10/23/2001	Manoel Tenorio	020431.0934	3207

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EXAMINER

LU, KUEN S

ART UNIT	PAPER NUMBER
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2167

DATE MAILED: 02/23/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

**Advisory Action
Before the Filing of an Appeal Brief**

Application No.

10/001,506

Applicant(s)

TENORIO, MANOEL

Examiner

Kuen S Lu

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--The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

THE REPLY FILED 03 February 2005 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE.

1. ☒ The reply was filed after a final rejection, but prior to filing a Notice of Appeal. To avoid abandonment of this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114. The reply must be filed within one of the following time periods:
- a) ☒ The period for reply expires 3 months from the mailing date of the final rejection.
- b) ☐ The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.
- Examiner Note: If box 1 is checked, check either box (a) or (b). ONLY CHECK BOX (b) WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f).

Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

NOTICE OF APPEAL

2. ☐ The reply was filed after the date of filing a Notice of Appeal, but prior to the date of filing an appeal brief. The Notice of Appeal was filed on _____. A brief in compliance with 37 CFR 41.37 must be filed within two months of the date of filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a).

AMENDMENTS

3. ☒ The proposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will not be entered because
- (a) ☒ They raise new issues that would require further consideration and/or search (see NOTE below);
- (b) ☐ They raise the issue of new matter (see NOTE below);
- (c) ☐ They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or
- (d) ☐ They present additional claims without canceling a corresponding number of finally rejected claims.

NOTE: See Continuation Sheet. (See 37 CFR 1.116 and 41.33(a)).

4. ☐ The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324).
5. ☐ Applicant's reply has overcome the following rejection(s): _____.
6. ☐ Newly proposed or amended claim(s) _____ would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s).
7. ☒ For purposes of appeal, the proposed amendment(s): a) ☒ will not be entered, or b) ☐ will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended.
- The status of the claim(s) is (or will be) as follows:
- Claim(s) allowed: _____.
- Claim(s) objected to: _____.
- Claim(s) rejected: 1-42.
- Claim(s) withdrawn from consideration: _____.

AFFIDAVIT OR OTHER EVIDENCE

8. ☐ The affidavit or other evidence filed after a final action, but before or on the date of filing a Notice of Appeal will not be entered because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary and was not earlier presented. See 37 CFR 1.116(e).
9. ☐ The affidavit or other evidence filed after the date of filing a Notice of Appeal, but prior to the date of filing a brief, will not be entered because the affidavit or other evidence failed to overcome all rejections under appeal and/or appellant fails to provide a showing a good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1).
10. ☐ The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached.

REQUEST FOR RECONSIDERATION/OTHER

11. ☐ The request for reconsideration has been considered but does NOT place the application in condition for allowance because: _____.
12. ☐ Note the attached Information Disclosure Statement(s). (PTO/SB/08 or PTO-1449) Paper No(s). _____
13. ☒ Other: See Continuation Sheet.

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1. This is a continuation of PTO-303. Application No. 10/001,506.
2. Regarding the Applicant's proposed amendments filed on February 3, 2005, the proposed amendment will not be entered because the following new issues were raised which would require further consideration and/or new search. Please see MPEP 706.07(b).

a). At claim 1, **the limitation**

"one or more document repositories storing a plurality of transaction documents each capable of being used to facilitate a transaction with one or more sellers;"

was amended to

"one or more document repositories storing a plurality of transaction documents each associated with a past transaction and at least portions of each transaction document capable of being used to facilitate a transaction with one or more sellers;" **and**

the limitation

"an intelligence module operable to create one or more generic documents capable of being used to facilitate a future transaction with at least one of the sellers from one or more of the transaction documents stored in the one or more document repositories, a generic document created from a transaction document comprising the transaction document with selected information in the transaction document made inaccessible in the generic document;"

was amended to

"an intelligence module operable to, in response to selection of a transaction document by a party who was not a party to the past transaction associated with the transaction document, create a generic document capable of being used to facilitate a future transaction with at least one of the sellers from the selected transaction document stored in the one or more document repositories, the generic document created from the selected transaction document comprising the selected transaction document with selected information in the selected transaction document made inaccessible in the generic document;"

b). The claim 5 was **amended from**

"The system of Claim 1 wherein the intelligence module:

segments the transaction documents into one or more sections;

determines which sections of the transaction documents are generic and which

sections are specific to a particular transaction; and

removes from the transaction documents information in the sections specific to a particular transaction."

to

"The system of Claim 1 wherein the intelligence module:

segments the selected transaction document into one or more sections; determines

which sections of the selected transaction document are generic and which sections are

specific to the past transaction;

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removes from the selected transaction document information in the sections specific to the past transaction to create the generic document capable of being used to facilitate the future transaction; and

carries forward the zeneric sections from th-e selected transaction document into the ceneric document to protect one or more confidential details in the selected transaction document.”.

c). At claim 12, **the limitation**

“storing a plurality of transaction documents in one or more document repositories, each transaction document capable of being used to facilitate a transaction with one or more sellers;”

was amended to

“storing a plurality of transaction documents in one or more document repositories, each transaction document associated with a past transaction and at least portions of each transaction document capable of being used to facilitate a transaction with one or more sellers;”; **and**

the limitation

“creating one or more generic documents capable of being used to facilitate a future transaction with at least one of the sellers from one or more of the transaction documents stored in the one or more document repositoryess a generic document created from a transaction document comprising the transaction document with selected information in the transaction document made inaccessible in the generic document.”

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was amended to

“creating, in response to selection of a transaction document by a party who was not a party to the past transaction associated with the transaction documents, a generic document capable of being used to facilitate a future transaction with at least one of the sellers from the selected transaction document stored in the one or more document repositories, the generic document created from the selected transaction document comprising the selected transaction document with selected information in the selected transaction document made inaccessible in the generic document.”.

d). The claim 16 was **amended from**

“The method of Claim 12 wherein creating generic documents comprises:
segmenting the transaction documents into one or more sections;
determining which sections from the transaction documents are generic and which sections are specific to a particular transaction;
removing from the transaction documents information in the sections specific to a particular transaction; and
carrying forward the generic sections from the transaction documents into the generic documents to protect one or more confidential details in the transaction documents.”

to

“The method of Claim 12 wherein creating the generic document comprises:
segmenting the selected transaction document into one or more sections;

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determining which sections from the selected transaction document are generic and which sections are specific to the past transaction;
removing from the selected transaction document information in the sections specific to the past transaction to create the generic document capable of being used to facilitate the future transaction; and
carrying forward the generic sections from the selected transaction document into the generic document to protect one or more confidential details in the selected transaction document.”.

e). At claim 20, **the limitation**

“store a plurality of transaction documents in one or more document repositories, each transaction document capable of being used to facilitate a transaction with one or more sellers;”

was amended to

“store a plurality of transaction documents in one or more document repositories, each transaction document associated with a past transaction and at least portions of each transaction document capable of being used to facilitate a transaction with one or more sellers;” **and**

the limitation

“create one or more generic documents capable of being used to facilitate a future transaction with at least one of the sellers from one or more of the transaction documents stored in the one or more document repositories as a generic document

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created from a transaction document comprising the transaction document with selected information in the transaction document made inaccessible in the generic document.”

was amended to

“create, in response to selection of a transaction document by a party who was not a party to the past transaction associated with the transaction documents, a generic document capable of being used to facilitate a future transaction with at least one of the sellers from the selected transaction document stored in the one or more document repositories, the generic document created from the selected transaction document comprising the selected transaction document with selected information in the selected transaction document made inaccessible in the generic document.”.

f). The claim 24 was **amended from**

“The software of Claim 20 wherein creating generic documents comprises:

segment the transaction documents into one or more sections;

determine which sections from the transaction documents are generic and which sections are specific to a particular transaction;

remove from the transaction documents information in the sections specific to a particular transaction; and

carry forward the generic sections from the transaction documents into the generic documents to protect one or more confidential details in the transaction documents.”

to

“The software of Claim 20 wherein creating the generic document comprises:

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segment the selected transaction document into one or more sections;
determine which sections from the selected transaction document are generic and which sections are specific to the past transaction;
remove from the selected transaction document information in the sections specific to the past transaction to create the generic document capable of being used to facilitate the future transaction; and
carry forward the generic sections from the selected transaction document into the generic document to protect one or more confidential details in the selected transaction document.”.

g). At claim 28, **the limitation**

“means for storing a plurality of transaction documents in one or more document repositories, each transaction document capable of being used to facilitate a transaction with one or more sellers;”

was amended to

“means for storing a plurality of transaction documents in one or more document repositories, each transaction document associated with a past transaction and at least portions of each transaction document capable of being used to facilitate a transaction with one or more sellers;”; **and**

the limitation

“creating one or more generic documents capable of being used to facilitate a future

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transaction with at least one of the sellers from one or more of the transaction documents stored in the one or more document repositories a generic document created from a transaction document comprising the transaction document with selected information in the transaction document made inaccessible in the generic document.”


was amended to

“means for creating, in response to selection of a transaction document by a party who was not a party to the past transaction associated with the transaction documents, a generic document capable of being used to facilitate a future transaction with at least one of the sellers from the selected transaction document stored in the one or more document repositories, the generic document created from the selected transaction document comprising the selected transaction document with selected information in the selected transaction document made inaccessible in the generic document.”.

h). At claims 29-30, 34-35 and 41-42 wherein “selected information in the transaction document” was amended to “selected information in the **selected** transaction document”.

3. Regarding Applicant's Remarks, the Applicant's arguments have been considered carefully but not persuasive. Therefore, the rejection is maintained as set forth on the Final Action November 3, 2004.

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Kuen S. Lu


Patent Examiner

February 21, 2005



Luke Wassum

Primary Examiner

February 21, 2005